



United States Department of State
and the Broadcasting Board of Governors

Office of Inspector General

APR 16 2013

Re: OIG FOIA Case No. 13-00038-FOI

Mr. Michael Morisy
MuckRock News
DEPT MR 4797
PO Box 55819
Boston, MA 02205-5819

Dear Mr. Morisy:

This is in response to your Freedom of Information Act (FOIA), 5 U.S.C. § 552, request dated March 28, 2013, submitted to the Office of Information Programs and Services (IPS), U.S. Department of State. Your request was forwarded to the Office of Inspector General (OIG) for action on April 8, 2013.

You requested "listing of investigations closed during the years 2008 to present in the State Department Office of Inspector General, including fields such as the case number, the description or category, and the opening and closing date."

OIG conducted a search and located one document responsive your request. The document is being released to you in part, redacted under FOIA exemptions (b)(6) and (b)(7)(C). We have enclosed a separate sheet explaining the exemptions.

Please note that the FOIA does not require agencies to create documents in response to an individual's request for information.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. *See* 5 U.S.C. § 552(c) (2006 & Supp. IV 2010). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

You may appeal this decision within 60 days to the Chairman of the Appeals Panel of the U.S. Department of State as explained in the enclosed. Appeals should be addressed to: Chairman, Appeals Review Panel, Attention: Appeals Officer,

A/ISS/IPS/PP/LC, Room 8100, State Annex 2 (SA-2), U.S. Department of State,
Washington, D.C. 20522-8100.

Sincerely,

A handwritten signature in black ink, appearing to read 'H. Geisel', with a stylized, flowing script.

Harold W. Geisel
Deputy Inspector General

Enclosures: As stated

Cases Closed					
Investigation Number	Investigation Title	Opened Date	Closing Date	Lead Agent	
C2001059		05/29/2001	03/17/2009		
C2004009		12/04/2003	03/31/2008		
C2004011		12/24/2003	05/21/2008		
C2004027		03/11/2004	10/27/2008		
C2005005		10/21/2004	09/11/2008		
C2005027		02/16/2005	06/09/2008		
C2005035		03/18/2005	11/22/2010		
C2005046		05/02/2005	05/07/2008		
C2005051		05/25/2005	04/23/2008		
C2005061		07/28/2005	12/15/2009		
C2006002		11/01/2005	08/11/2008		
C2006004		11/10/2005	12/15/2009		
C2006005		11/10/2005	06/06/2008		
C2006006		11/28/2005	09/11/2008		
C2006012		01/10/2006	06/25/2008		
C2006014		01/23/2006	07/14/2008		
C2006015		02/01/2006	09/08/2010		
C2006022		03/16/2006	08/25/2008		
C2006028		05/23/2006	03/09/2010		
C2006033		08/24/2006	12/18/2008		
C2006035		08/25/2006	06/12/2008		
C2006038		09/07/2006	10/07/2008		
C2007002		10/12/2006	09/16/2008		
C2007004		10/16/2006	05/05/2008		
C2007005		10/18/2006	11/22/2011		
C2007006		10/30/2006	08/11/2008		
C2007010		11/27/2006	08/14/2008		
C2007011		12/19/2006	03/17/2011		
C2007018		03/01/2007	03/13/2013		
C2007019		03/01/2007	10/07/2008		
C2007021		03/13/2007	10/19/2009		
C2007024		04/05/2007	03/31/2008		
C2007025		05/08/2007	09/29/2008		
C2007027		05/22/2007	11/23/2010		
C2007028		06/05/2007	07/21/2010		
C2007029		06/19/2007	08/29/2008		
C2007030		06/19/2007	01/20/2010		
C2007031		07/12/2007	08/03/2009		
C2007032		07/17/2007	04/12/2012		
C2007033		07/31/2007	03/31/2008		
C2007034		08/02/2007	04/23/2008		
C2007035		08/06/2007	07/19/2010		
C2007036		09/06/2007	06/02/2008		
C2007037		09/11/2007	06/20/2012		
C2008001		11/05/2007	04/04/2008		
C2008002		11/13/2007	10/06/2008		
C2008003		11/19/2007	11/16/2011		
C2008004		11/27/2007	03/31/2008		
C2008005		12/04/2007	07/22/2009		
C2008007		12/14/2007	03/01/2010		
C2008008		12/20/2007	11/19/2008		
C2008010		02/14/2008	07/11/2008		
C2008011		02/15/2008	04/12/2012		
C2008012		02/25/2008	09/11/2008		
C2008013		03/10/2008	04/17/2012		
C2008014		03/25/2008	02/13/2009		
C2008015		03/28/2008	06/17/2009		

C2008016	04/10/2008	10/05/2010
C2008017	04/10/2008	06/09/2009
C2008018	04/14/2008	11/08/2010
C2008019	04/22/2008	02/23/2009
C2008020	04/22/2008	12/22/2008
C2008021	04/24/2008	03/27/2009
C2008022	04/24/2008	06/09/2008
C2008023	04/24/2008	01/13/2009
C2008024	04/24/2008	03/10/2010
C2008025	04/28/2008	06/10/2008
C2008026	05/02/2008	06/17/2009
C2008027	04/30/2008	12/31/2008
C2008028	05/05/2008	07/21/2008
C2008029	05/06/2008	07/17/2009
C2008030	05/15/2008	06/25/2008
C2008031	05/07/2008	05/19/2008
C2008032	05/12/2008	07/24/2008
C2008033	05/12/2008	12/22/2008
C2008034	05/14/2008	06/16/2009
C2008035	05/19/2008	06/18/2009
C2008036	05/28/2008	03/18/2010
C2008037	05/29/2008	07/08/2009
C2008038	05/30/2008	02/23/2009
C2008039	05/30/2008	08/20/2008
C2008040	05/30/2008	07/29/2010
C2008041	06/02/2008	05/25/2010
C2008042	06/02/2008	09/08/2008
C2008043	06/06/2008	01/16/2009
C2008044	06/09/2008	05/10/2010
C2008045	06/10/2008	04/25/2011
C2008046	06/10/2008	08/05/2009
C2008047	06/13/2008	06/10/2009
C2008048	06/13/2008	03/23/2009
C2008049	06/16/2008	12/17/2008
C2008050	06/16/2008	10/19/2009
C2008051	06/18/2008	09/09/2010
C2008052	06/18/2008	12/22/2008
C2008053	06/20/2008	08/11/2010
C2008054	06/24/2008	12/02/2011
C2008055	06/24/2008	01/03/2011
C2008056	06/24/2008	05/26/2011
C2008057	06/27/2008	09/02/2009
C2008058	06/27/2008	09/21/2009
C2008059	06/27/2008	09/21/2009
C2008060	06/27/2008	09/21/2009
C2008061	06/27/2008	09/21/2009
C2008062	06/28/2008	08/03/2009
C2008063	06/27/2008	08/25/2010
C2008064	06/27/2008	08/06/2008
C2008065	07/03/2008	04/28/2010
C2008066	07/07/2008	04/07/2009
C2008067	07/08/2008	10/22/2008
C2008068	07/08/2008	04/16/2012
C2008069	07/09/2008	05/07/2009
C2008070	07/09/2008	11/27/2009
C2008071	07/09/2008	08/20/2008

C2008072	07/09/2008	12/05/2008
C2008073	07/11/2008	09/29/2009
C2008074	07/11/2008	06/18/2009
C2008075	07/14/2008	01/05/2009
C2008076	07/15/2008	11/19/2008
C2008077	07/16/2008	02/12/2009
C2008078	07/16/2008	05/24/2011
C2008079	07/16/2008	09/18/2009
C2008080	07/16/2008	12/29/2009
C2008081	07/16/2008	07/28/2008
C2008082	07/21/2008	10/16/2008
C2008083	07/21/2008	03/16/2009
C2008084	07/21/2008	03/10/2009
C2008085	07/22/2008	12/15/2009
C2008086	07/22/2008	01/28/2010
C2008087	07/22/2008	05/27/2009
C2008088	07/23/2008	03/03/2010
C2008089	07/24/2008	08/26/2010
C2008090	07/24/2008	06/24/2011
C2008091	07/25/2008	08/03/2009
C2008092	07/28/2008	06/25/2010
C2008093	08/06/2008	03/16/2011
C2008094	08/07/2008	06/26/2009
C2008095	08/07/2008	08/14/2009
C2008096	08/12/2008	10/26/2009
C2008097	08/14/2008	07/26/2012
C2008099	08/19/2008	01/19/2010
C2008100	08/19/2008	06/10/2009
C2008101	08/20/2008	01/21/2009
C2008102	08/25/2008	11/20/2009
C2008103	08/27/2008	10/24/2008
C2008104	08/27/2008	04/14/2009
C2008105	08/27/2008	11/30/2009
C2008106	08/27/2008	04/25/2011
C2008107	08/27/2008	06/03/2009
C2008108	08/27/2008	10/05/2010
C2008109	09/02/2008	09/02/2008
C2008110	09/03/2008	10/24/2008
C2008111	09/03/2008	11/06/2008
C2008112	09/05/2008	12/19/2011
C2008113	08/28/2008	11/17/2011
C2008114	08/27/2008	09/12/2008
C2008116	09/09/2008	12/05/2008
C2008117	09/09/2008	12/05/2008
C2008118	09/09/2008	12/05/2008
C2008119	09/11/2008	05/15/2009
C2008120	09/17/2008	04/21/2009
C2008121	09/17/2008	12/09/2008
C2008122	09/24/2008	01/21/2009
C2008123	09/25/2008	01/22/2010
C2008124	09/26/2008	12/18/2008
C2008125	09/30/2008	04/27/2009
C2009001	10/01/2008	06/24/2010

C2009002	10/01/2008	10/20/2008
C2009003	10/03/2008	10/03/2008
C2009004	10/06/2008	08/20/2009
C2009005	10/07/2008	08/20/2009
C2009006	10/07/2008	07/07/2009
C2009007	10/08/2008	10/24/2008
C2009008	10/08/2008	10/21/2008
C2009009	10/08/2008	02/25/2009
C2009010	10/08/2008	04/20/2010
C2009011	10/08/2008	05/27/2009
C2009012	10/09/2008	05/24/2010
C2009013	10/09/2008	04/09/2010
C2009014	10/16/2008	01/14/2010
C2009015	10/16/2008	07/19/2010
C2009016	10/20/2008	09/13/2010
C2009017	10/21/2008	01/22/2009
C2009018	10/21/2008	07/07/2009
C2009019	10/21/2008	10/21/2008
C2009020	10/23/2008	07/10/2009
C2009021	10/28/2008	11/12/2008
C2009022	11/10/2008	11/23/2010
C2009023	11/10/2008	04/16/2012
C2009024	11/12/2008	07/18/2011
C2009025	11/14/2008	04/28/2009
C2009026	11/18/2008	08/25/2010
C2009027	11/18/2008	08/25/2010
C2009028	11/19/2008	10/20/2011
C2009029	11/19/2008	12/04/2008
C2009030	11/20/2008	04/26/2010
C2009031	11/20/2008	05/26/2010
C2009032	11/21/2008	07/20/2012
C2009033	11/21/2008	03/17/2009
C2009034	11/25/2008	03/05/2010
C2009035	11/26/2008	08/25/2010
C2009036	12/02/2008	06/03/2009
C2009037	12/08/2008	05/25/2010
C2009038	12/09/2008	12/03/2012
C2009039	12/12/2008	06/10/2009
C2009040	12/16/2008	06/16/2009
C2009041	12/23/2008	04/14/2009
C2009042	12/24/2008	06/27/2011
C2009043	01/09/2009	02/23/2009
C2009044	01/12/2009	02/24/2009
C2009045	01/21/2009	05/20/2011
C2009046	01/22/2009	12/29/2009
C2009047	01/22/2009	08/07/2009
C2009048	01/22/2009	05/05/2009
C2009049	01/22/2009	07/27/2009
C2009051	01/22/2009	06/11/2010
C2009052	01/22/2009	03/03/2011
C2009053	01/23/2009	03/17/2009
C2009054	02/03/2009	05/19/2010

C2009056	02/05/2009	10/11/2011
C2009057	02/10/2009	09/09/2010
C2009058	02/10/2009	02/17/2010
C2009059	02/19/2009	04/14/2009
C2009060	02/20/2009	02/09/2012
C2009061	02/24/2009	06/24/2010
C2009062	02/25/2009	01/26/2010
C2009063	03/10/2009	04/09/2012
C2009064	03/11/2009	04/07/2009
C2009065	03/11/2009	05/12/2009
C2009066	03/19/2009	03/19/2009
C2009067	03/20/2009	07/12/2010
C2009068	03/25/2009	06/23/2010
C2009069	04/14/2009	07/22/2009
C2009070	04/20/2009	10/19/2011
C2009071	04/28/2009	05/15/2009
C2009072	05/01/2009	02/01/2012
C2009073	05/01/2009	08/18/2011
C2009074	05/05/2009	04/21/2010
C2009075	05/06/2009	10/27/2010
C2009076	05/06/2009	12/30/2009
C2009077	05/07/2009	10/19/2009
C2009078	05/11/2009	10/26/2011
C2009080	06/01/2009	05/23/2011
C2009081	06/04/2009	07/13/2009
C2009082	06/08/2009	06/15/2009
C2009083	06/10/2009	10/11/2011
C2009084	06/10/2009	11/17/2011
C2009085	06/10/2009	01/21/2010
C2009086	06/12/2009	11/04/2009
C2009087	06/16/2009	03/22/2010
C2009088	06/22/2009	07/09/2009
C2009089	06/25/2009	03/05/2010
C2009090	06/29/2009	02/25/2010
C2009091	06/29/2009	04/25/2011
C2009092	07/07/2009	11/13/2009
C2009093	07/14/2009	01/04/2011
C2009094	07/16/2009	04/12/2011
C2009095	07/16/2009	02/02/2010
C2009096	07/20/2009	08/13/2012
C2009097	07/23/2009	04/09/2012
C2009098	07/24/2009	09/24/2009
C2009099	07/28/2009	08/18/2010
C2009100	08/03/2009	06/18/2012
C2009102	08/04/2009	05/25/2010
C2009103	08/06/2009	08/17/2011
C2009104	08/06/2009	04/16/2010
C2009105	08/13/2009	08/25/2010
C2009106	08/17/2009	06/17/2010
C2009107	08/20/2009	04/21/2010
C2009108	08/21/2009	01/25/2010
C2009109	08/21/2009	06/16/2010
C2009110	08/27/2009	04/18/2012
C2009111	08/28/2009	01/17/2012
C2009112	08/31/2009	10/26/2009
C2009113	09/02/2009	08/12/2011
C2009114	09/04/2009	12/29/2009
C2009115		

C2009116	09/04/2009	11/13/2009
C2009118	09/10/2009	06/17/2010
C2009120	09/14/2009	06/13/2012
C2009121	09/15/2009	08/02/2012
C2009123	09/18/2009	08/06/2012
C2009124	09/23/2009	10/28/2009
C2009125	09/23/2009	09/09/2010
C2009126	09/24/2009	03/29/2010
C2009127	09/24/2009	11/15/2010
C2009128	09/28/2009	05/18/2010
C2010001	10/07/2009	02/22/2010
C2010002	10/15/2009	1/06/2009
C2010003	10/15/2009	12/29/2009
C2010004	10/21/2009	11/17/2011
C2010005	10/21/2009	05/27/2011
C2010006	10/28/2009	04/12/2012
C2010007	10/30/2009	04/24/2012
C2010008	10/30/2009	03/31/2010
C2010010	11/02/2009	11/30/2009
C2010011	11/03/2009	12/06/2010
C2010012	11/03/2009	02/17/2011
C2010013	11/04/2009	11/04/2009
C2010015	11/10/2009	07/19/2012
C2010016	11/19/2009	01/20/2010
C2010017	11/19/2009	01/20/2010
C2010018	11/23/2009	04/30/2012
C2010019	11/25/2009	04/19/2010
C2010020	12/03/2009	04/25/2011
C2010023	12/11/2009	01/10/2011
C2010024	12/16/2009	11/29/2011
C2010025	12/07/2010	11/03/2011
C2010026	12/29/2009	04/30/2010
C2010028	01/05/2010	06/29/2010
C2010030	01/19/2010	11/26/2012
C2010031	01/19/2010	05/05/2011
C2010032	01/21/2010	10/26/2012
C2010033	01/29/2010	02/04/2010
C2010034	02/02/2010	03/30/2011
C2010035	02/02/2010	05/17/2010
C2010036	02/03/2010	11/18/2011
C2010037	02/03/2010	01/17/2013
C2010038	02/22/2010	04/13/2012
C2010039	02/23/2010	12/01/2010
C2010040	02/25/2010	11/04/2010
C2010041	02/25/2010	11/04/2010
C2010042	03/01/2010	09/15/2010
C2010044	03/04/2010	12/06/2010
C2010045	03/12/2010	03/14/2012
C2010046	03/15/2010	07/06/2010
C2010048	03/18/2010	01/04/2011
C2010049	03/29/2010	04/07/2011
C2010050	03/23/2010	01/31/2011
C2010052	03/24/2010	03/28/2012

C2010053	03/26/2010	05/05/2011
C2010054	03/30/2010	06/17/2010
C2010055	03/31/2010	10/25/2011
C2010056	03/31/2010	08/16/2010
C2010057	03/31/2010	11/03/2010
C2010058	03/31/2010	11/03/2011
C2010059	03/19/2010	10/26/2011
C2010060	04/05/2010	06/10/2011
C2010061	04/05/2010	04/30/2010
C2010062	04/07/2010	10/05/2010
C2010063	04/07/2010	06/11/2010
C2010064	04/07/2010	06/11/2010
C2010065	04/07/2010	06/11/2010
C2010066	04/14/2010	07/20/2010
C2010068	04/15/2010	12/08/2011
C2010069	04/15/2010	12/21/2010
C2010070	04/15/2010	07/09/2012
C2010071	04/20/2010	04/05/2012
C2010073	05/04/2010	02/01/2011
C2010074	05/04/2010	11/12/2010
C2010075	05/03/2010	07/13/2012
C2010076	05/18/2010	08/16/2010
C2010077	05/19/2010	02/24/2011
C2010078	06/07/2010	05/22/2012
C2010079	06/07/2010	07/02/2010
C2010082	06/17/2010	11/08/2010
C2010083	06/23/2010	12/07/2010
C2010084	06/28/2010	08/27/2010
C2010085	07/01/2010	01/18/2011
C2010086	07/09/2010	04/08/2011
C2010087	07/14/2010	03/23/2011
C2010088	07/20/2010	09/20/2010
C2010089	07/21/2010	06/21/2011
C2010090	07/21/2010	08/16/2012
C2010091	07/28/2010	08/01/2011
C2010094	08/04/2010	09/12/2012
C2010095	08/16/2010	07/21/2011
C2010096	08/23/2010	10/21/2010
C2010098	09/01/2010	07/08/2011
C2010100	09/10/2010	05/02/2011
C2010102	09/30/2010	03/17/2011
C2011002	10/05/2010	12/19/2011
C2011004	10/12/2010	02/22/2012
C2011005	10/22/2010	08/28/2012
C2011006	10/17/2010	09/19/2012
C2011007	11/02/2010	07/17/2012
C2011008	11/02/2010	07/18/2011
C2011010	11/18/2010	12/20/2010
C2011012	11/22/2010	02/24/2011
C2011013	12/01/2010	07/13/2012
C2011014	12/07/2010	07/15/2011
C2011015	12/09/2010	09/21/2011

C2011016	12/17/2010	03/15/2011
C2011019	12/28/2010	09/05/2012
C2011021	01/04/2011	03/16/2011
C2011024	01/14/2011	05/09/2011
C2011026	01/28/2011	09/12/2011
C2011028	02/07/2011	06/21/2011
C2011029	02/07/2011	04/19/2012
C2011032	02/17/2011	06/14/2012
C2011033	02/24/2011	04/23/2012
C2011034	02/24/2011	06/21/2011
C2011036	02/24/2011	11/29/2011
C2011036	02/24/2011	09/11/2012
C2011037	02/24/2011	11/29/2011
C2011039	03/01/2011	03/15/2011
C2011040	03/01/2011	03/15/2011
C2011041	03/03/2011	05/15/2012
C2011042	03/04/2011	05/22/2012
C2011043	03/04/2011	05/15/2012
C2011046	03/08/2011	02/23/2012
C2011048	03/10/2011	05/26/2011
C2011050	03/15/2011	02/15/2013
C2011051	03/15/2011	12/01/2011
C2011052	03/23/2011	04/12/2012
C2011053	03/24/2011	12/06/2012
C2011054	03/31/2011	03/12/2013
C2011055	04/01/2011	04/12/2012
C2011058	04/19/2011	09/07/2011
C2011059	04/21/2011	04/20/2012
C2011060	04/22/2011	03/02/2012
C2011064	05/17/2011	06/24/2011
C2011065	05/18/2011	12/10/2012
C2011066	05/23/2011	07/19/2012
C2011067	05/24/2011	11/01/2011
C2011068	05/26/2011	07/27/2011
C2011070	06/01/2011	08/15/2012
C2011071	06/06/2011	12/04/2012
C2011072	06/08/2011	11/17/2011
C2011073	06/09/2011	10/27/2011
C2011074	06/13/2011	09/20/2011
C2011075	06/14/2011	02/21/2013
C2011076	06/17/2011	12/23/2011
C2011077	06/20/2011	03/07/2013
C2011078	06/23/2011	05/03/2012
C2011079	06/29/2011	09/04/2012
C2011083	07/14/2011	11/07/2012
C2011084	07/22/2011	04/16/2012
C2011085	07/27/2011	10/04/2011
C2011089	08/15/2011	12/21/2012
C2011090	08/15/2011	12/21/2012

C2011091	08/29/2011	11/21/2011
C2011093	08/31/2011	09/16/2011
C2011095	09/06/2011	06/18/2012
C2011097	09/15/2011	09/10/2012
C2011100	09/20/2011	07/10/2012
C2011101	09/22/2011	11/29/2011
C2011102	09/22/2011	09/13/2012
C2012002	10/11/2011	12/08/2011
C2012003	10/13/2011	03/26/2013
C2012004	10/13/2011	12/13/2012
C2012005	10/20/2011	06/06/2012
C2012008	11/09/2011	07/20/2012
C2012010	11/16/2011	11/26/2012
C2012011	11/18/2011	02/07/2012
C2012012	11/18/2011	08/31/2012
C2012016	11/23/2011	07/10/2012
C2012017	11/28/2011	06/06/2012
C2012018	11/28/2011	08/27/2012
C2012019	11/28/2011	06/12/2012
C2012021	12/02/2011	04/18/2012
C2012023	12/09/2011	09/13/2012
C2012024	01/04/2012	09/14/2012
C2012025	01/06/2012	05/01/2012
C2012026	01/12/2012	07/13/2012
C2012027	01/17/2012	01/15/2013
C2012028	01/19/2012	07/23/2012
C2012035	02/23/2012	12/21/2012
C2012038	02/27/2012	06/04/2012
C2012041	02/27/2012	03/26/2012
C2012042	02/27/2012	03/20/2012
C2012043	02/27/2012	03/20/2012
C2012045	02/27/2012	03/26/2013
C2012046	02/21/2012	02/07/2013
C2012048	03/07/2012	02/07/2013
C2012051	03/19/2012	05/01/2012
C2012053	03/23/2012	05/24/2012
C2012054	03/23/2012	05/30/2012
C2012063	04/13/2012	07/16/2012
C2012065	04/16/2012	08/07/2012
C2012066	04/16/2012	01/04/2013
C2012070	04/19/2012	07/16/2012
C2012074	04/27/2012	06/13/2012
C2012075	04/27/2012	07/24/2012
C2012076	04/30/2012	01/29/2013
C2012079	05/11/2012	08/06/2012
C2012084	05/31/2012	10/26/2012

C2012085		06/06/2012	03/18/2013	
C2012087		06/18/2012	08/17/2012	
C2012088		06/20/2012	03/22/2013	
C2012090		06/25/2012	03/08/2013	
C2012091		06/27/2012	08/03/2012	
C2012093		06/29/2012	03/12/2013	
C2012094		07/03/2012	07/03/2012	
C2012106		08/27/2012	12/21/2012	
C2012107		08/29/2012	11/13/2012	

Total Records: 485

Date Report Run: Wednesday, April 3, 2013

EXPLANATION OF EXEMPTIONS

The Freedom of Information Act (5 U.S.C. § 552)

- Exemption 1 (5 U.S.C. § 552(b)(1)) – protects from disclosure information which is specifically authorized under criteria established by Executive Order to be kept classified in the interest of national defense or foreign policy.
- Exemption 2 (5 U.S.C. § 552(b)(2)) – records related solely to internal personnel rules and practices, which, if released, would allow circumvention of an agency function.
- Exemption 3 (5 U.S.C. § 552(b)(3)) – protects information specifically exempted from disclosure by other federal statutes.
- Exemption 4 (5 U.S.C. § 552(b)(4)) – protects from disclosure trade secrets and commercial or financial information obtained from a person which is privileged or confidential.
- Exemption 5 (5 U.S.C. § 552(b)(5)) – protects from disclosure inter-agency or intra-agency memoranda or letters consisting of predecisional advice, opinion or recommendations.
- Exemption 6 (5 U.S.C. § 552(b)(6)) – exempts from disclosure records or information which if disclosed would constitute a clearly unwarranted invasion of personal privacy.
- Exemption 7 (5 U.S.C. § 552(b)(7)) – protects from disclosure records or information compiled for law enforcement purposes to the extent that the production of such records or information:
 - (A) could reasonably be expected to interfere with enforcement proceedings;
 - (B) would deprive a person of a right to a fair trial or an impartial adjudication;
 - (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy;
 - (D) could reasonably be expected to disclose the identity of a confidential source;
 - (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law; and/or

- (F) could reasonably be expected to endanger the life or physical safety of any individual.

The Privacy Act (5 U.S.C. § 552a)

- Exemption § 552a(j)(2), whereby records may be withheld from disclosure which are maintained by an agency or component thereof which performs as its principal function any activity pertaining to the enforcement of criminal laws and which consists of:
 - (A) information compiled for the purpose of identifying individual criminal offenders and alleged offenders;
 - (B) information compiled for the purpose of a criminal investigation; and/or
 - (C) reports identifiable to an individual.
- Exemption § 552a(k)(2), whereby information compiled for law enforcement purposes, other than for the purpose of a criminal investigation, including material which, if released, would reveal the identity of a source who furnished information to the government.

Amendment rights

In accordance with § 552a(d)(2) of the Privacy Act and § 171.35, Title 22 of the Code of Federal Regulations, an individual has the right to request that the Department amend a record pertaining to her or him which the individual believes is not accurate, relevant, timely, or complete. A copy of this regulation is enclosed, if applicable.

Code of Federal Regulations

Title 22 - Foreign Relations

Volume: 1

Date: 2010-04-01 Original

Date: 2010-04-01 Title: SUBCHAPTER R - ACCESS TO INFORMATION

Context: Title 22 - Foreign Relations. CHAPTER I - DEPARTMENT OF STATE

Subpart F—Appeal Procedures

§ 171.52 Appeal of denial of access to, declassification of, amendment of, accounting of disclosures of, or challenge to classification of records.

(a) *Right of administrative appeal.* Except for records that have been reviewed and withheld within the past two years or are the subject of litigation, any requester whose request for access to records, declassification of records, amendment of records, accounting of disclosures of records, or any authorized holder of classified information whose classification challenge has been denied, has a right to appeal the denial to the Department's Appeals Review Panel. This appeal right includes the right to appeal the determination by the Department that no records responsive to an access request exist in Department files. Privacy Act appeals may be made only by the individual to whom the records pertain.

(b) *Form of appeal.* There is no required form for an appeal. However, it is essential that the appeal contain a clear statement of the decision or determination by the Department being appealed. When possible, the appeal should include argumentation and documentation to support the appeal and to contest the bases for denial cited by the Department. The appeal should be sent to: Chairman, Appeals SA-2, Room 8100, Washington, DC 20522-8100.

(c) *Time limits.* The appeal should be received within 60 days of the date of receipt by the requester of the Department's denial. The time limit for response to an appeal begins to run on the day that the appeal is received. The time limit (excluding Saturdays, Sundays, and legal public holidays) for agency decision on an administrative appeal is 20 days under the FOIA (which may be extended for up to an additional 10 days in unusual circumstances) and 30 days under the Privacy Act (which the Panel may extend an additional 30 days for good cause shown). The Panel shall decide mandatory declassification review appeals as promptly as possible.

(d) *Notification to appellant.* The Chairman of the Appeals Review Panel shall notify the appellant in writing of the Panel's decision on the appeal. When the decision is to uphold the denial, the Chairman shall include in his notification the reasons therefore. The appellant shall be advised that the decision of the Panel represents the final decision of the Department and of the right to seek judicial review of the Panel's decision, when applicable. In mandatory declassification review appeals, the Panel shall advise the requester of the right to appeal the decision to the Interagency Security Classification Appeals Panel under § 3.5(d) of E.O. 12958.

